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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY **PATENT APPLICATION TRANSMITTAL**

First Inventor ZHI-YUAN SHEN

Attorney Docket No.

HIGH TEMPERATURE SUPERCONDUCTOR MINI-FILTERS MINI-MULTIPLEXERS WITH SELF-RESONANT SPIRAL RESONATORS Title

**CL1259 US CNT1** 

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Express Mail Label No. ET931353815US

APPLICATION ELEMENTS			ADDE	RESS TO:		Commissioner for Patents			
See MPEP chapter 600 concerning utility patent application contents.			וטטא	E33 TO.		Application n, DC 20231			
2.	Fee Transmittal Form (e.g., P (Submit an original and a duplicate for It Applicant claims small entity s See 37 CFR 1.27. Specification	8. Nucl (if ap	<ul> <li>7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</li> <li>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</li> <li>a. Computer Readable Form (CRF)</li> </ul>						
(preferred arrangement set forth below)  - Descriptive title of the Invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table,			b. Sp i. [ ii. (	b. Specification Sequence Listing on:     i. ☐ CD-ROM or CD-R (2 copies); or     ii. ☐ paper     c. ☐ Statements verifying identity of above copies					
<b>j</b> .	or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings ( if filed)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure			ACCOMPANYING APPLICATIONS PARTS					
				9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney					
				<ul> <li>11. ☐ English Translation Document (if applicable)</li> <li>12. ☒ Information Disclosure ☐ Copies of IDS</li> </ul>					
	4. Drawing(s) (35 U.S.C.113) [Total Sheets 18 ] 5. Oath or Declaration [Total Pages 1 ]			Statement (IDS)/PTO-1449 Citations					
a. 🗆	Newly executed (original or		13. ☐ 14. ⊠	Preliminary Amendment Return Receipt Postcard (MPEP 503)					
b. ⊠	Copy from a prior application (for a continuation/divisional			(Should be specifically itemized)					
i. [	DELETION OF INVENT	15. 🗌	Certified Copy of Priority Document(s) (if foreign priority is claimed)						
	Signed statement attached delenamed in the prior application, statement 1.63(d)(2) and 1.33(b).	16. 🗌	(b)(2)(B)(i). Applicant must attach form PTO/SB/35						
	plication Data Sheet. See 37		17.						
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  □ Continuation □ Divisional □ Continuation-in-part (CIP) of prior application No: 09 / 592,466									
Prior application information: Examiner LEE, B. Group / Art Unit: 2817  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.									
		17. CORRESPO	NDENCE AD	DRESS					
Customer Number or Bar Code Label 2390 PATENT TRADEMA				· · · · · · · · · · · · · · · · · · ·					
Name		,	<del> </del>		<del></del>				
Address									
City			Т						
		State		Zip	p Code				
Country		Telephone	<u> </u>		Fax				
Name (Print/Type)  John A. Langworthy  Regi				gistration No. (Attorney/Agent) 32,255					
Signature Date 17 January 2002						17 January 2002			

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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Complete If Known Application Number unknown Filing Date January 17, 2002 First Named Inventor Shen et al. **Examiner Name** Lee, B. (of Parent) 2817 (of Parent) Group / Art Unit **CL1259 US CNT1** Attorney Docket No.

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
Check Credit card Money Order Other None				3. ADDITIONAL FEES Large Entity   Small Entity					
□ Deposit Account	Deposit Account:			Fee	Fee	Fee	Foo Boundaries	Fee	
Denosit	Deposit		Code	(\$)	Code	(\$)	Fee Description	Pald	
Account 04-1928 Number		105 127	130 50	205 227	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or			
Deposit		=		400	400	400	cover sheet.		
Account	E. I. du Pont de Nemours and Compai	ny	139 147	130	139 147	130 2,520	Non-English specification		
Name				2,520 920*	112	2,520 920*	For filing a request for reexamination Requesting publication of SIR prior to		
The Commissione	r is authorized to: (check all that apply)	i	112	320	1112	320	Examiner action		
Charge fee(s) in	ndicated below		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
	Charge fee(s) indicated below, except for the filling fee to the					55	Extension for reply within first month		
	deposit account		116	400	216	200	Extension for reply within second month		
	FEE CALCULATION		117	920	217	460	Extension for reply within third month		
			118	1,440	218	720	Extension for reply within fourth month		
	LING FEE		128	1,960	228	980	Extension for reply within fifth month		
	Small Entity		119	320	219	160	Notice of Appeal		
	Fee Fee <u>Fee Description</u> code (\$)	: A	120	320	220	160	Filing a brief in support of an appeal		
	201 370 Utility filing fee 740	10	121	280	221	140	Request for oral hearing		
106 330 2	206 165 Design filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding		
	207 255 Plant filing fee		140	110	240	55	Petition to revive – unavoidable		
	208 370 Reissue filing fee		141	1,280	241	640	Petition to revive – unintentional		
114 160 2	214 80 Provisional filling fee		142	1,280	242	640	Utility issue fee (or reissue)		
			143	460	243	230	Design issue fee		
	SUBTOTAL (1) (\$) 740	1	144	620	244	310	Plant issue fee		
2. EXTRA CLAIM			122	130	122	130	Petitions to the Commissioner		
Z. EXTRA CLAIM		ee	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Total Claims 17	Claims below F	aid	126	180	126	180	Submission of Information Disclosure Stmt		
Independent 2	-3 = 0 X 84 = 0	,	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Multiple [	X 280	=	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Dependent L Large Entity	Small Entity		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
Fee Fee Code (\$)	Fee Fee Fee Description		179	740	279	370	Request for Continued Examination (RCE)		
103 18	203 9 Claims in excess of 20		169	900	169	900	Request for expedited examination of a design application		
102 84	202 42 Independent claims in excess			,	•				
104 280				fee (spec	ify)				
109 84	209 42 ** Reissue independent claims original patent	eissue independent claims over							
110 18	210 9 ** Reissue claims in excess of over original patent	20 and							
	SUBTOTAL (2) (\$) 0		İ						
**or number previo	ously paid, if greater, For Reissues, see above		*Reduc	ed by Ba	sic Filin	g Fee Pa	id SUBTOTAL (3) (\$) 0		

SUBMITTED BY				Complete	e (if applicable)
Name (Print/Type)	John A. Langworthy	$\sim$ (	Registration No. Attorney/Agent) 32,255	Telephone	302-992-4362
Signature	ALL!		Deverolly	Date	January 17, 2002

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